

COPY OF PATENTS
ORIGINAL FILED

0300 in m

0500
#3



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/955,632	
	Filing Date	September 18, 2001	
	First Named Inventor	Kunal R. Parekh	
	Group Art Unit	2813	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	57	Attorney Docket Number	MI22-1816

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Postcard; Response to Notice to File Corrected Application Papers; Specification; Substitute Drawing Request; and Formal Drawings - 17 sheets
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	No Fees Required. The Commissioner is hereby authorized to charge any additional fees required under 37 CFR Sections 1.16 and 1.17 and credit any overpayments to: 23-0925.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Frederick M. Fliegel, Ph.D.; Wells St. John
Signature	
Date	Oct 22, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/22/2001			
Typed or printed name	Robin Saldivia		
Signature		Date	10/22/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/955,632
Filing Date September 18, 2001
Inventor Kunal R. Parekh et al.
Assignee Micron Technology, Inc.
Group Art Unit 2813
Examiner Unassigned
Attorney's Docket No. MI22-1816
TITLE: Capacitors, DRAM Arrays, Monolithic Integrated Circuits, And Methods of
Forming Capacitors, DRAM Arrays, And Monolithic Integrated Circuits

Assistant Commissioner for Patents
Washington, D. C. 20231
Attention: Official Draftsman

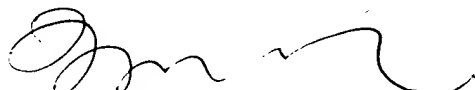
SUBSTITUTE DRAWING REQUEST

Please enter the enclosed substitute drawings in the above-referenced application in place of drawings originally filed. The content of the drawings are identical to those now on file in this application.

Acknowledgment of receipt of the formal drawings and their acceptance into the file is requested.

Respectfully submitted,

Date: Oct 22, 2001

By: 
Frederick M. Fliegel, Ph.D.
Reg. No.: 36,138
WELLS, ST. JOHN, ROBERTS,
GREGORY & MATKIN P.S.
601 W. First Avenue, Suite 1300
Spokane, WA 99201-3828
(509) 624-4276

Enclosures: 17 Sheets of Formal Drawings, Figs. 1-17.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/955,632
 Filing Date September 18, 2001
 Inventor Kunal R. Parekh et al.
 Assignee Micron Technology, Inc.
 Group Art Unit 2813
 Examiner Unassigned
 Attorney's Docket No. MI22-1816
 Title: Capacitors, DRAM Arrays, Monolithic Integrated Circuits, And Methods of
 Forming Capacitors, DRAM Arrays, And Monolithic Integrated Circuits

RESPONSE TO OCTOBER 15, 2001 NOTICE TO FILE CORRECTED APPLICATION PAPERS UNDER 37 CFR 1.152(a)

To: Assistant Commissioner for Patents
 Washington, D.C. 20231

From: Frederick M. Fliegel, Ph.D.
 (Tel. 509-624-4276; Fax 509-838-3424)
 Wells, St. John, Roberts, Gregory & Matkin P.S.
 601 West First Avenue, Suite 1300
 Spokane, WA 99201-3828

Responsive to the Notice To File Corrected Application Papers dated
 October 15, 2001, Applicant amends and remarks as shown in the specification,
 Substitute Drawing Request and formal drawings submitted herewith.

Replace the entire specification submitted to the U.S. Patent and
 Trademark Office on September 18, 2001 with the "clear" copy of the
 specification submitted herewith. Applicant apologizes for the quality of the
 specification submitted previously. This specification is a true and correct copy
 and no changes have been made other than the clarity of the copy.

Further, as shown by the enclosed copies of the Return Receipt Postcard
 and Ex Post Declaration of Express Mailing, Applicant submitted a Substitute

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,632	09/18/2001	Kunal R. Parekh	MI22-1816

021567
WELLS ST JOHN ROBERTS GREGORY AND MATKIN
SUITE 1300
601 W FIRST AVENUE
SPOKANE, WA 992013828

CONFIRMATION NO. 3955

FORMALITIES LETTER



OC000000006909843

Date Mailed: 10/15/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- o A substitute specification in compliance with 37 CFR 1.52 because:
 - The specification cannot be scanned or properly stored. Page(s)
 - o Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages **Specification pages 1, 3, 4 & 5** are not in compliance with 37 CFR 1.52(a). *The drawings filed are unacceptable because: Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE